

FORM PTO-1390                      U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER  043890-0798
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLIC. NO. (if known, see 37 CFR 1.5) Not Yet Assigned <div style="font-size: 2em; font-weight: bold; text-align: center;">107584776</div>
INTERNATIONAL APPLICATION NO <b>PCT/JP2006/300058</b>	INTERNATIONAL FILING DATE <b>January 6, 2006</b>	INTERNATIONAL APPLICATION NO <b>January 11, 2005</b>
TITLE OF INVENTION <b>NEGATIVE ELECTRODE MATERIAL FOR LITHIUM SECONDARY BATTERY, NEGATIVE ELECTRODE USING THE MATERIAL, LITHIUM SECONDARY BATTERY USING THE NEGATIVE ELECTRODE, AND MANUFACTURING METHOD OF NEGATIVE ELECTRODE MATERIAL</b>		
APPLICANTS FOR DO/EO/US <b>Teruaki YAMAMOTO, Toshitada SATO, Yasuhiko BITO</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1.     <input checked="" type="checkbox"/>     This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2.     <input type="checkbox"/>     This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3.     <input checked="" type="checkbox"/>     This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4.     <input checked="" type="checkbox"/>     The US has been elected (Article 31).</li> <li>5.     <input checked="" type="checkbox"/>     A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6.     <input checked="" type="checkbox"/>     An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li> <li>7.     <input checked="" type="checkbox"/>     Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendment has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8.     <input type="checkbox"/>     An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9.     <input checked="" type="checkbox"/>     An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10.    <input type="checkbox"/>     An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11. to 16. below concern other document(s) or information included:</b>		
<ol style="list-style-type: none"> <li>11.    <input checked="" type="checkbox"/>     An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12.    <input type="checkbox"/>     An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13.    <input checked="" type="checkbox"/>     A preliminary amendment.</li> <li>14.    <input type="checkbox"/>     An Application Data Sheet under 37 CFR 1.76.</li> <li>15.    <input type="checkbox"/>     A substitute specification.</li> <li>16.    <input type="checkbox"/>     A power of attorney and/or change of address letter.</li> <li>17.    <input type="checkbox"/>     A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</li> <li>18.    <input type="checkbox"/>     A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>19.    <input type="checkbox"/>     A second copy of the English translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li>20.    <input checked="" type="checkbox"/>     Other items or information.           <ol style="list-style-type: none"> <li>1) PCT Request</li> <li>2) International Search Report</li> <li>3) PCT/IB/304</li> <li>4) 31 pages of Substitute Specification, 32 pages of Marked-up Specification</li> <li>5) 1 sheet each of Annotated and Replacement Drawing</li> </ol> </li> </ol>		

U.S. APPLIC. NO. (if known, see 37 CFR 1.50) Not yet assigned <b>10/584776</b>		INTERNATIONAL APPLICATION NO. PCT/JP2006/300058		ATTORNEY'S DOCKET NUMBER 043890-0798	
				<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>
21. <input checked="" type="checkbox"/> The following fees are submitted:					
<input checked="" type="checkbox"/> Basic National Stage Fee		\$300	\$300.00		
22. <input checked="" type="checkbox"/> Examination Fee					
If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$0	\$200.00
All other situations				\$200	
23. <input checked="" type="checkbox"/> Search Fee (37CFR 1.492(b))					
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$0	\$400.00
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$100	
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB				\$400	
All other situations				\$500	
<b>TOTAL OF 21, 22 and 23 =</b>				\$900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				\$	
Total Sheets	Extra Sheets	Number of each additional 50 or fraction Thereof (round up to a whole number)	RATE		
37-100	0/50=	0	X\$250	\$0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	10-20 =	0	x \$50.00	\$0	
Independent Claims	3-3 =	0	x \$200.00	\$0	
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$900.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				\$900.00	
Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$0
<b>TOTAL NATIONAL FEE =</b>				\$900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$0
<b>TOTAL FEES ENCLOSED =</b>				\$900.00	
				Amount to be refunded	\$
				Amount to be charged	\$900.00
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of \$ <u>900.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO:					
Customer Number 20277			June 28, 2006		
Telephone: 202.756.8000			SIGNATURE		
Facsimile: 202.756.8087			Michael E. Fogarty		
			NAME		
			36,139		
			REGISTRATION NUMBER		